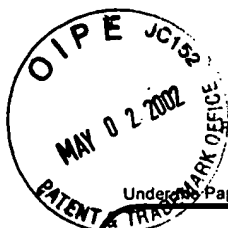


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PTO/SB/21 (08-00)  
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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/053,514
Filing Date	October 25, 2001
First Named Inventor	Rebecca Frana-Guthrie
Group Art Unit	
Examiner Name	
Total Number of Pages in This Submission	29
Attorney Docket Number	0212-0001

### ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
- ☒ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☒ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):

Declaration and  
Power of Attorney  
Return addressed  
postcard

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Richard P. Beem

Signature

*Richard P. Beem*

Date

April 22, 2002

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 04/22/02

Typed or printed name

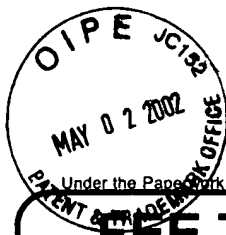
Richard P. Beem

Signature

*Richard P. Beem*

Date April 22, 2002

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$)**870**

## Complete if Known

Application Number	10/053,514
Filing Date	October 25, 2001
First Named Inventor	Rebecca Frana-Guthrie
Examiner Name	
Group Art Unit	
Attorney Docket No.	0212-0001

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit  
Account  
Number  
Deposit  
Account  
Name

502195

Beem Patent Group

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	740
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$)**740**

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims **8** -20\*\* = **0** X **0** = **0**  
Independent Claims **3** -3\*\* = **0** X **0** = **0**  
Multiple Dependent **0** = **0**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)**0**

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	130
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**130**

## SUBMITTED BY

Name (Print/Type) **Richard P. Beem**

Registration No. **32,961**  
(Attorney/Agent)

Complete (if applicable)

Telephone **312-201-0011**

Signature

*Richard P. Beem*

Date **April 22, 2002**

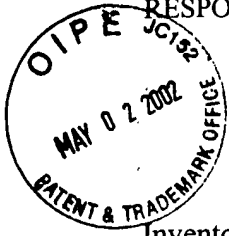
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S/N 10/053,514  
RESPONSE TO MISSING PARTS

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PATENT  
ATTY DOCKET NO. 0212-0001



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Rebecca Frana-Guthrie  
Title: Cooling package for agricultural combine  
Serial No: 10/053,514  
Filing Date: October 25, 2001  
Group:  
Examiner: To be assigned

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Signed: *Richard P. Beem*  
Richard P. Beem

Dated: April 22, 2002

Assistant Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231

**RESPONSE TO NOTICE OF MISSING PARTS**

Sir,

We have enclosed the formal documents to complete the filing of utility patent application SN 10/053,514. This response is timely, within two months of the date of the Notice to File Missing Parts, dated 03/11/02. The documents included with this response are the following:

1. Notice to File Missing Parts of Application
2. Application for U.S. Patent - Declaration and Power of Attorney
3. Fee Transmittal with fee payment of \$870.00 including:  
statutory basic filing fee for a non-small entity of \$740.00  
fee for filing the oath or declaration late of \$130.00

The following documents are also submitted herewith,

1. Information Disclosure Statement by Applicant (37 CFR 1.97, submitted before the mailing of a first Office action on the merits)
2. Copies of the Cited References for the Information Disclosure Statement

If there are any charges in connection with the prosecution of this application that are not otherwise paid, please charge the deposit account #502195 for Beem Patent Group.

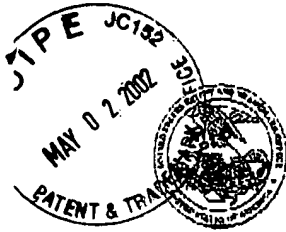
Dated this 22nd day of April, 2002.

Respectfully submitted,



---

Richard P. Beem  
Reg. No. 32,961  
135 S. LaSalle Street,  
Suite 3600  
Chicago IL 60603-4110  
Tel. (312) 201-0011



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Page 1 of 1

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/053,514	10/25/2001	Rebecca Ann Frana-Guthrie	0212-0001

CONFIRMATION NO. 1677

## FORMALITIES LETTER



Richard P. Beem  
Beem Patent Group  
135 S. LaSalle Street, Suite 3600  
Chicago, IL 60603-4110

X 4

Date Mailed: 03/11/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

A copy of this notice **MUST** be returned with the reply.

*Richard P. Beem*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/03/2002 YPOLITE1 00000089 10053514

01 FC:101  
02 FC:105

740.00 OP  
130.00 OP